

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement accompanies the new patent application submitted herewith of the following Inventors: Ping ZHOU and Michael Gerard KELLY;

For: 1-ARYL- OR 1-ALKYLSULFONYLBENZAZOLE DERIVATIVES AS
5-HYDROXYTRYPTAMINE-6 LIGANDS.

1. Preliminary Statements

In accordance with 37 CFR 1.97 and 1.98, Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) the information is material to the examination of this application; (iii) additional information material to the examination of this application does not exist; (iv) the information, protocols, results and the like reported by third parties are accurate or enabling; or (v) the information constitutes prior art to the subject invention.

2. ☒ Previously Cited Information

No copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed because it has been previously cited by or submitted to the Office in a prior application which is relied upon for an earlier filing date under 35 USC 120. Prior applications are Application Number 10/314,726, filed on December 9, 2002 and Application Number 10/055,365, filed on January 22, 2002 of Ping ZHOU and Michael Gerard KELLY for 1-ARYL- OR 1-ALKYLSULFONYLBENZAZOLE DERIVATIVES AS 5-HYDROXYTRYPTAMINE-6 LIGANDS.

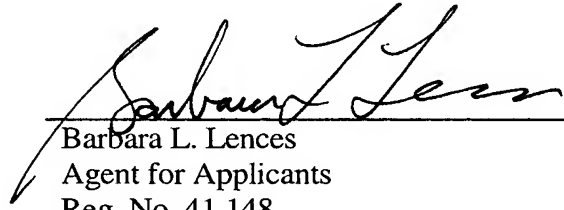
CERTIFICATE OF MAILING 37 CFR §1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV243963395US addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

October 29, 2003
Date

Carole K. Kamrad
Carole K. Kamrad

3. ☐ Newly Cited Information
A legible copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed, except that no copy of a pending U.S. application is enclosed.
4. ☐ Concise Explanation
Documents cited above which are not in the English Language
a. ☐ have been explained in the specification.
b. ☐ have an abstract (or other concise explanation) in English enclosed or, if readily available, a translation into English of the document is enclosed.
5. Form PTO-1449 is enclosed in duplicate.
6. Customer Number 25291.


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Substitute for form 144B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	
				Filing Date	
				First Named Inventor	
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	
AM100055-D3					

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number (If known)	Kind Code (If Known)			
	1.	6127380		J. A. Nelson	10-03-2000	
	2.	5872144		R. E. Mewshaw	02-16-1999	
	3.	5817833		L. M. Gaster	02-29-1996	
	4.	5889022		L. M. Gaster, et al.	06-29-1995	
	5.	6322770	B1	M. Rajopadhye et al.	06-22-2000	
	6.	6255494	B1	T. C. Britton, et al.	07-17-1997	

FOREIGN PATENT DOCUMENTS

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		Office	Number	Kind Code (If Known)				
	7.	UK	GB 2341549	A	Merck Sharp & Dohme Limited	03-22-2000		<input type="checkbox"/>
	8.	PCT	WO 98/30548 (English Abstract)	A1	Yamanouchi Pharmaceutical Co., Ltd.	07-16-1998		<input type="checkbox"/>
	9.	PCT	WO 97/31635	A1	Eli Lilly Co.	09-04-1997		<input type="checkbox"/>
	10.	PCT	WO 00/46198	A1	Astrazeneca US Limited	08-10-2000		<input type="checkbox"/>
	11.	PCT	WO 02/14273	A1	Lovell, Peter J., et al.	02-21-2002		<input type="checkbox"/>

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
			<input type="checkbox"/>

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 144B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	
				Filing Date	
				First Named Inventor Zhou, Ping	
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number AM100055-D3	

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